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RESEARCH AND DEVELOPMENT TECHNICAL REPORT  
ECOM-5531

# ATMOSPHERIC EFFECTS FOR GROUND TARGET SIGNATURE MODELING

## II. Discussion and Application of a Generalized Molecular Absorption Model

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By

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20. ABSTRACT (Continue on reverse side if necessary and identify by block number)  This report is a detailed discussion of the five-parameter generalization of the Zachor molecular absorption model proposed by Gibson and Pierluissi, and applies that model to a horizontal path of water vapor data. This application covers the spectral intervals of 1200 to 2200 $\text{cm}^{-1}$ and 4900 to 5800 $\text{cm}^{-1}$ with a resolution of 50 $\text{cm}^{-1}$ . The five parameters of the model are determined for each frequency, and the transmission is calculated and plotted. The results of this application are then qualitatively compared to results obtained from the modified		

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20.

King's function which is utilized in the Air Force Cambridge Research Laboratory (AFCL) transmittance model.

In the 1200-1850  $\text{cm}^{-1}$  and the 5100-5800  $\text{cm}^{-1}$  intervals, the two models predict generally similar though not identical results; they predict markedly different results in the 1850-2200  $\text{cm}^{-1}$  and 4900-5100  $\text{cm}^{-1}$  intervals.

The transmittance values calculated with the use of these models are compared to the original data which were used in the development of the models. The five-parameter model reproduces the data of Wyatt et al. to within 0.5%, while the modified King's function model reproduces the published data of Burch et al. to within 10%.

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## PREFACE

The objective of the Atmospheric Sciences Laboratory (ASL) effort within the Ground Target Signature (GTS) program is to develop a total atmospheric transmission model that takes into account molecular and aerosol scattering and absorption. The five-parameter generalization of the Zachor molecular absorption model originally proposed by Gibson and Pierluissi in 1971 is in the process of being integrated into a comprehensive ASL transmittance model. This absorption model is reviewed and compared with the modified King's function model used in the Air Force Cambridge Research Laboratory (AFCRL) model presently being used for GTS purposes. Since further improvements need to be made to develop the five-parameter model for general use, additional work to improve the model and refine the computer techniques involved is being done under contract number DDAD07-73-C-0127 at the University of Texas at El Paso by Joseph Pierluissi, Leland Blank, and Jerry Collins. This work includes applying the model to high resolution data, extending the use of the model to real atmospheric conditions, applying inhomogeneous path techniques, and improving the computer program efficiency.

The authors gratefully acknowledge the cooperation and assistance of Glenn A. Gibson and Joseph H. Pierluissi of the University of Texas at El Paso. We also wish to acknowledge the relevant discussions with John E. Selby of AFCRL concerning the application of the modified King's function model. We especially wish to acknowledge Richard B. Gomez for his critical review and his many helpful suggestions.

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## INTRODUCTION

This is the second in a series of reports to determine a total atmospheric transmittance model for the Ground Target Signatures (GTS) program. Gomez and Pierluissi [1] reviewed the state-of-the-art of calculating atmospheric transmission, and recommended that a generalized molecular absorption model be considered as potentially the best model available for treating broad band atmospheric absorption.

This report discusses and applies the five-parameter generalization of Zachor's model [2], henceforth referred to as the FP(five-parameter) model. This application is to a 1-km horizontal path of water vapor data calculated by Wyatt, Stull, and Plass [3] over spectral intervals of 1200 to 2200  $\text{cm}^{-1}$  and 4900 to 5800  $\text{cm}^{-1}$  with a resolution of 50  $\text{cm}^{-1}$ . To provide a basis of comparison, a modified King's function (MKF) absorption model, used by Air Force Cambridge Research Laboratories (AFCRL) in their total atmospheric transmission model [4], is applied to the same path and spectral intervals using the water vapor data of Burch, Singleton, France, and Williams [5] degraded to a resolution of 50  $\text{cm}^{-1}$ .

The AFCRL model was chosen as a basis of comparison because it is used in GTS applications.

## DISCUSSION OF THE FIVE-PARAMETER MODEL

### Development of the Five-Parameter Model

The FP band model discussed in this paper is a quadratic generalization of the four-parameter absorption model developed by Zachor [2, 6]. As originally proposed by Gibson and Pierluissi [7], it was developed by considering the Zachor model as the equation of an elliptic cone and writing that expression as a more general three-term polynomial equation. The Zachor model is a modification of the Mayer-Goody statistical band model for gaseous transmittance. The Mayer-Goody model is [8, 9]:

$$\tau = \exp \left[ \frac{-q}{\left(1 + \frac{2q}{\beta}\right)} \right]^{\frac{1}{2}} \quad (1)$$



where

$\tau$  = transmission

$q$  =  $SU/d = kU$

$U$  = optical path length

$k$  = absorption coefficient

$S$  = spectral line intensity

$d$  = mean spacing between spectral lines

$\beta$  =  $2\pi\gamma/d = \beta_0 P$

$\beta_0$  =  $\beta$  calculated at standard temperature and pressure

$\gamma$  = spectral line half-width

$P$  = gaseous broadening pressure

Eq. (1) may be rewritten as

$$\tau = \exp \left[ -\left( \frac{1}{q^2} + \frac{2}{q\beta} \right)^{-\frac{1}{2}} \right] \quad (2)$$

or

$$\tau = \exp \left[ -\left( \frac{1}{(kU)^2} + \frac{2}{CUP} \right)^{-\frac{1}{2}} \right] \quad (3)$$

where  $C = \beta_0 k$  is the proportionality factor between  $UP$  and  $q\beta$  and is frequency-dependent. The product  $CUP$  is used instead of  $q\beta$  because  $q$  and  $\beta$  cannot be directly measured.



For  $U/P < 1$ , the weak-line approximation, Eq. (3) reduces to

$$\tau_w = \exp(-kU) \quad (4)$$

and for  $U/P \gg 1$ , the strong-line approximation, Eq. (3) reduces to

$$\tau_s = \exp \left[ - \left( \frac{CUP}{2} \right)^{1/2} \right] \quad (5)$$

Combining Eqs. (3), (4), and (5), the Mayer-Goody model may be rewritten as

$$(\ln \tau)^{-2} = (\ln \tau_w)^{-2} + (\ln \tau_s)^{-2} \quad (6)$$

Zachor [2] noted that this equation may be regarded geometrically as the resultant magnitude of two perpendicular vectors of lengths  $(\ln \tau_w)^{-1}$  and  $(\ln \tau_s)^{-1}$ , as shown in Figure 1(a). Extending this geometric interpretation and applying the cosine law, he proposed a more general model which may be expressed as

$$Z^2 = \left( \frac{1}{k^2} \right) x^2 + y^2 - \left( \frac{M}{k} \right) xy \quad (7)$$

where

$$Z = 1 / \ln \tau$$

$$x = 1/U$$

$$y = 1 / \ln \tau_s$$

$$M = 2 \cos \theta$$

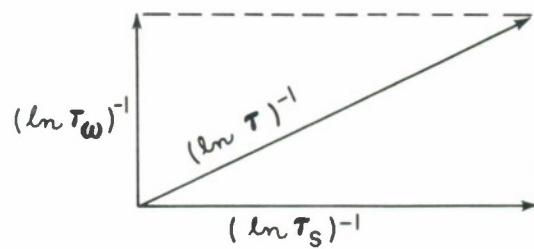


Figure 1a. The vector representation of the Mayer-Goody model.

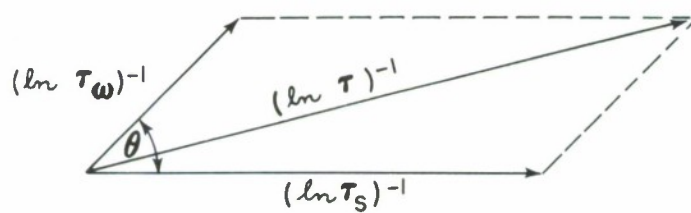


Figure 1b. The vector representation of the Zachor model.

$(\ln \tau)^{-1}$  is now the sum of two vectors,  $(\ln \tau_w)^{-1}$  and  $(\ln \tau_s)^{-1}$ , whose directions differ by a fixed angle  $\theta$ , as shown in Figure 1(b). The value  $\theta$  has no effect on the value of  $\tau$ ; however, it does control the rate of transition between  $\tau_w$  and  $\tau_s$ . The cross term can thus be interpreted as representing the region of intermediate absorption.

The Zachor formula, when written in the form of Eq. (7), is the equation of an elliptic cone. The ellipse is in the xy-plane, and the xy term corresponds to a rotation of the ellipse about the z-axis. Since  $M = 2 \cos \theta$ ,  $M$  will be less than or equal to 2. This restriction guarantees that the cone will be elliptic (or parabolic for  $|M| = 2$ ). This comes from the restriction in analytic geometry that  $A_3^2 < 4A_1A_2$ , where  $A_1$  is the coefficient of  $x^2$ ,  $A_2$  is the coefficient of  $y^2$ , and  $A_3$  is the coefficient of  $xy$ .

To use the analytical models described above, one essentially determines an elliptic cone that best fits the data points  $(x_i, y_i, z_i)$ . This may be done by using minimization techniques to weight the terms of the equation of the ellipse. The coefficients are determined from the resulting best fit. This procedure must then be repeated for each frequency, since the parameters are frequency-dependent.

Using the observations presented above, Gibson and Pierluissi [7] proposed a generalized polynomial model which would allow for complete flexibility in weighting the terms of the equation. The proposed model is

$$z^2 = B_w x^2 + B_s y^2 + B_{ws} xy \quad (8)$$

where  $B_w$  is the frequency-dependent parameter which weights the weak-line transmission with respect to the other two terms. It is not necessarily  $(1/K^2)$ , as in the Zachor model.  $B_s$  is the frequency-dependent parameter which weights the strong-line transmission terms.  $B_{ws}$  is the frequency-dependent parameter which weights the transition between  $x$  and  $y$ . These coefficients satisfy the condition  $B_{ws}^2 < 4B_w B_s$ .

In a later paper Pierluissi [10] further generalizes the model and describes the general polynomial transmission model as

$$Z^2 = B_w X^2 + B_s Y^2 + B_{ws} XY + B_{3ws} X^2 Y + B_{2ws} XY^2 + \dots \quad (9)$$

in which the right side of the equation is simply an n-order polynomial given by the expression

$$F(x, y) = \sum_n \sum_i B_{ni} X^n Y^i \quad (10)$$

The first order terms of Eq. (10) correspond to a translation of the x- and y-axes and may be removed by algebraic methods. The accuracy produced by the third order and higher terms is not needed for general atmospheric transmittance modeling, and the inclusion of these terms results in greater complexity of the model and more computational effort. Eq. (9) is therefore truncated after the first three terms, yielding Eq. (8). As more terms are used, the coefficients lose their physical meaning.

As written, the Zachor model, Eq. (7), and the FP model, Eq. (8), have only two and three explicit parameters, respectively. However, both models use the two-parameter strong-line transmission function proposed by King [11] for their representations of  $\tau_s$ . King's formula is

$$\tau_s = 1 - P\{n, [n\Gamma(n) (\frac{2CUP}{\pi})^{1/2}]^{1/n}\} \quad (11)$$

where  $P(a, x)$  is the incomplete gamma function

$$P(a, x) = [\Gamma(a)]^{-1} \int_0^x t^{a-1} e^{-t} dt \quad (12)$$

King developed his strong-line transmission model based on the fact that the character of the line spacing is the most important factor in the transmittance of overlapping spectral lines. He compared the transmittance of the Elsasser model to the transmittance of the Mayer-Goody model and found no relationship between the two; however, in taking the derivatives of the absorptances of the models with respect to the absorber quantity, he discovered a relationship which led him to formulate two important assumptions: (1) The absorption derivative of widely spaced overlapping Lorentz lines can be expressed as the product of the absorption derivative of the lines considered as non-interacting absorbers and an overlap factor which involves the interaction of the neighboring lines. (2) For widely spaced Lorentz absorption lines, the overlap factor can be expressed as an exponential function in which the power of the argument is related to the variance of the line spacing. The assumptions form the basis for the derivation of King's formula.

Eq. (11) has two frequency-dependent parameters,  $n$  and  $C$ . The parameter  $n$  is an adjustable strong-line parameter which controls the ratio of the variance,  $\sigma^2$ , and the squared mean of the line spacing,  $d^2$ , for widely spaced, equally intense strong lines, as in the probability density function [6]:

$$\frac{\sigma^2}{d^2} = \{2n\Gamma(2n)/[n\Gamma(n)]^2\} - 1 \quad (13)$$

The Mayer-Goody model (Poisson-distributed lines) is characterized by unit variance, while the Elsasser model (regularly spaced lines) is characterized by zero variance. For Poisson-distributed lines ( $n = 1$ ), Eq. (13) gives the correct result; however, for regularly spaced lines

( $n = \frac{1}{2}$ ), it gives  $\sigma^2/d^2 = (4/\pi - 1)$  instead of zero. Nevertheless, King's formula, Eq. (11), is very useful because it provides a continuous set of trial functions for fitting strong-line data, and it reduces to the Elsasser strong-line approximation for  $n = \frac{1}{2}$  and to the Mayer-Goody strong line approximation for  $n = 1$ . For  $n > 1$ , the expression represents clustering of spectral lines. Eq. (11) is thus a generalized strong-line absorption model whose line spacing goes from complete regularity to complete randomness and to the limit of clustering in which the spectral lines are superimposed upon one another.

The parameter  $C$  is a strong-line parameter related to the line spacing,  $d$ , the line strength,  $S$ , and the half-width,  $\gamma_0$ , of the spectral lines by

$$C = \frac{2\pi\gamma_0 S}{d^2} \quad (14)$$

With King's strong-line transmission function (Eq. (11)) incorporated into Eq. (8), the proposed model becomes a five-parameter model ( $n, C, B_w, B_s, B_{ws}$ ). All five parameters must be calculated for each frequency. The techniques used to determine these parameters will be discussed in the next section.

That the FP model is indeed a very general and very useful analytical model can be shown in that at least nine other models can be derived from it by forcing the parameter to certain values [12].

The models that can be obtained are:

1. Beer's Law. By setting  $B_s = B_{ws} = 0$  and  $B_w = 1/k^2$ , we obtain  $\tau = e^{-kU}$ .

2. King's Strong-line Model. By setting  $B_w = B_{ws} = 0$  and  $B_s = 1$ , we obtain Eq. (11), repeated here for convenience.

$$\tau_s = 1 - P\{n, \Gamma[n\tau(n) (\frac{2CUP}{\pi})^{\frac{1}{2}}]^{1/n}\}$$

3. Elsasser's Strong-line Approximation. By setting  $B_w = B_{ws} = 0$ ,  $B_s = 1$ , and  $n = 0.5$ , we obtain

$$\begin{aligned}\tau &= 1 - \frac{1}{\sqrt{\pi}} \Gamma\left[\frac{1}{2}, \left(\frac{CUP}{2}\right)^{\frac{1}{2}}\right] \\ &= 1 - \operatorname{erf}\left(\left(\frac{CUP}{2}\right)^{\frac{1}{2}}\right)\end{aligned}\quad (15)$$

4. The Mayer-Goody Strong-line Approximation. By setting  $B_w = B_{ws} = 0$ ,  $B_s = 1$ , and  $n = 1$ , we obtain

$$\begin{aligned}\tau &= 1 - P\left\{1, \left(\frac{2CUP}{\pi}\right)^{\frac{1}{2}}\right\} \\ &= \exp\left[-\left(\frac{2CUP}{\pi}\right)^{\frac{1}{2}}\right]\end{aligned}\quad (16)$$

5. The Mayer-Goody Model. By setting  $B_{ws} = 0$ ,  $B_s = 1$ ,  $B_w = 1/k^2$ , and  $n = 1$ , we obtain Eq. (3), repeated here.

$$\tau = \exp\left[-\left(\frac{1}{(kU)^2} + \frac{2}{CUP}\right)^{\frac{1}{2}}\right]$$

6. The Generalized Mayer-Goody. By setting  $B_{ws} = 0$  and using King's expression for  $\tau_s$  with  $n$  variable, we obtain

$$(\ln \tau)^{-2} = \frac{1}{(kU)^2} + (\ln \tau_s)^{-2} \quad (17)$$

7. The Modified Elsasser. Setting  $B_{ws} = 0$  and  $n = \frac{1}{2}$ , we obtain



$$(\ln \tau)^{-2} = \frac{1}{(kU)^2} + [1 - \operatorname{erf}(\frac{CUP}{2})^{\frac{1}{2}}]^{-2} \quad (18)$$

8. Zachor's Model. By setting  $B_s = 1$ ,  $B_{ws} = M/k$ , and  $B_w = 1/k^2$ , we obtain

$$(\ln \tau)^{-2} = \frac{1}{(kU)^2} + (\ln \tau_s)^{-2} - \frac{M}{kU} (\ln \tau_s)^{-1} \quad (19)$$

9. Intermediate Absorption (any model). Setting  $B_w = B_s = 0$ , we obtain

$$\tau_I = B_{ws} \ln \tau_w \ln \tau_s \quad (20)$$

Since these other models can be derived from the FP model, once the FP model is computerized, one can, with appropriate fixing of parameters, obtain any of the above models for use in the calculation of gaseous transmittance. A reduction in the number of parameters will result in a decrease of computer time and of required computer storage; however, it will also result in a decrease in the accuracy of the model.

## Mathematical Techniques Used to Determine the Five Parameters

The mathematical algorithms used by Gibson and Pierluissi to determine the five parameters will be discussed in this section. As mentioned in the previous section, the parameters are frequency-dependent and must be calculated for each frequency. Ideally, Eq. (8) should be minimized, solving for all five parameters simultaneously by using all the absorption data; however, such a technique has not been perfected.

A procedure similar to that used by Zachor [2] was used to determine the parameters. First the strong-line parameters,  $n$  and  $C$ , were determined. Then these values were used in calculating the quadratic parameters:  $B_w$ ,  $B_s$ , and  $B_{ws}$ . A simplified flowchart illustrating the computer procedures described in this section is shown in Figure 2.

The procedure for determining  $n$  and  $C$  is based on the fact that for a fixed UP product, such as  $U/P \gg 1$  (the strong-line approximation), the absorbance must approach an upper limit of  $1 - \tau_s$ , where  $\tau_s$  is the strong-line transmittance. The strong-line parameters can thus be determined from the experimental data by plotting  $\log(1 - \tau_s)$  versus  $\log(UP)$  and selecting from these data, points which are dominated by strong-line absorbance. These points are then fitted to a surface obtained by plotting the log of the theoretical strong-line transmission function,  $\tau_s$ , given by Eq. (11), versus the log of the quantity  $(CUP)$  versus the parameter  $n$ . The value of  $\log C$  may be obtained by taking the difference between  $\log(CUP)$  and  $\log(UP)$  from these two plots. The value of  $n$  may be obtained directly from the displacement along the  $n$ -axis. The correct values of  $n$  and  $C$  are obtained when the following expression is minimized:

$$\sum_{i=1}^{i=N} [\rho_i - f(n, D)]^2 \quad (21)$$

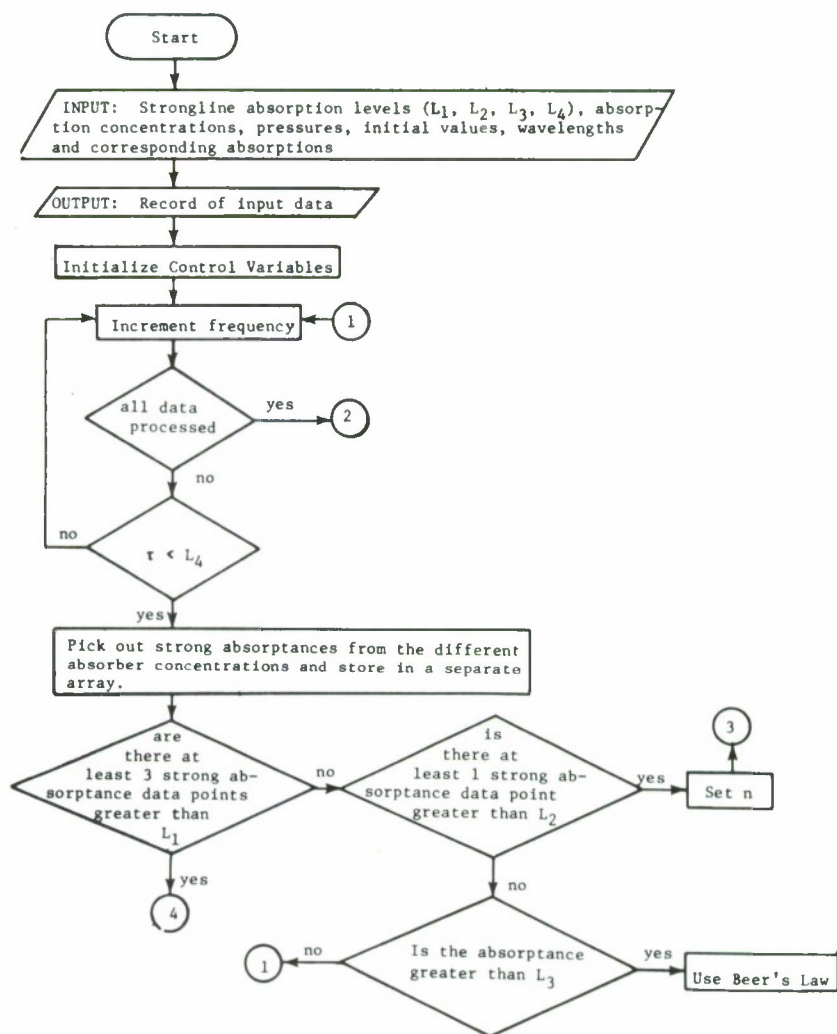


Figure 2. Flowchart of the five-parameter model.

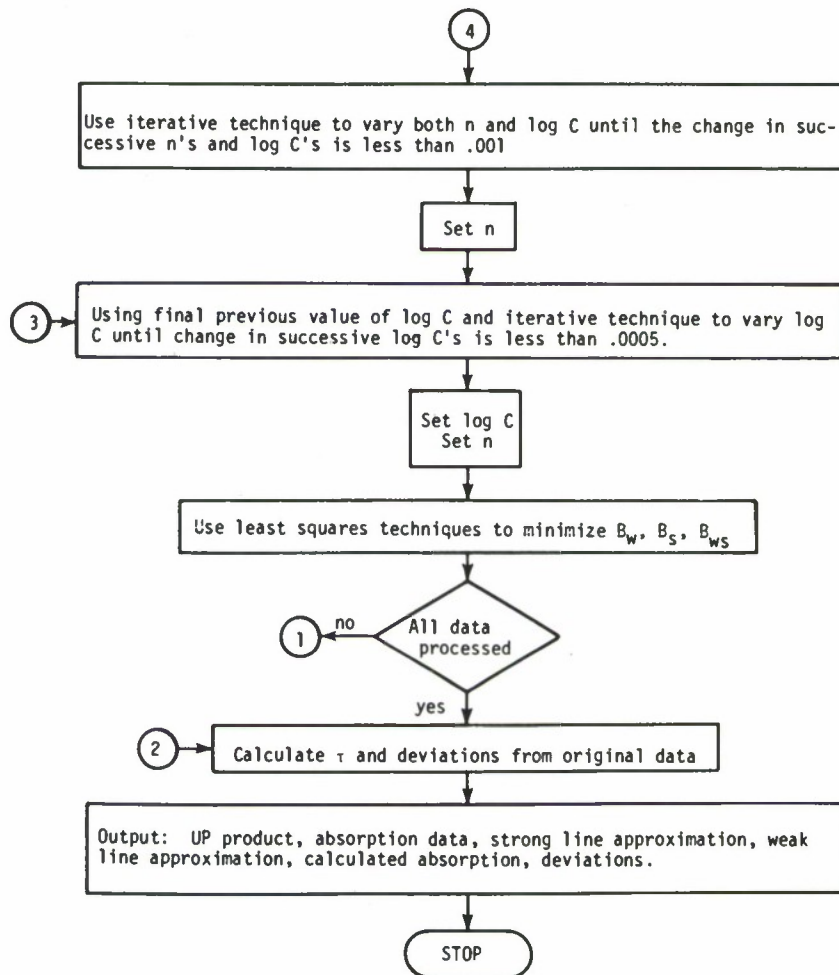


Figure 2 (con.)

where  $D = \log C$ ,  $\rho_i = \log(1 - \tau_i)$ ,  $f(n, D) = \log(P\{n, [n\Gamma(n)(2CUP/\pi)^{1/2}]^{1/n}\})$ , and  $N$  = the number of data points.

The data used in the calculation of the strong-line parameters were computer-selected by setting four levels of absorptance:  $L_1$ ,  $L_2$ ,  $L_3$ , and  $L_4$ . Levels  $L_1$  and  $L_2$  were used to determine which data would be used in the strong-line calculations (see Figure 2). Levels  $L_3$  and  $L_4$  were set to exclude values of absorptance near 0% and 100%, which in general are questionable. Small differences in these values may produce large errors in the parameters to be calculated. These levels were predetermined by trial and error, and it was found that the levels  $L_1 = 0.5$ ,  $L_2 = 0.2$ ,  $L_3 = 0.005$ , and  $L_4 = 0.995$  produced the best parameters for water vapor.

First the data were checked against  $L_4$ ; no values greater than  $L_4$  were used. The data were next checked against  $L_1$ , and if there were at least three data points greater than  $L_1$ , then all of those points were used in the calculations. If there were not three points above  $L_1$ , then the data were checked with  $L_2$ . If there were three or more points above  $L_2$ , then those points were used;  $n$  was then set equal to a predetermined set value and Eq. (14) was minimized with respect to  $D$  only. This was necessary since minimization with respect to  $n$  and  $C$  was found to be difficult under these circumstances [13]. The selection of a value of  $n$  was made by a trial and error procedure, and a value of one was found to produce good parameters. If there were only one or two points above  $L_2$ , then the three highest absorptance values were used for the minimization with respect to  $D$  only. If there were no points above  $L_2$ , then the three highest absorptance values were used for the minimization with respect to  $D$  only. If there were no points above  $L_2$ , the absorption was assumed to be weak-line. The points between  $L_3$  and  $L_2$  were then selected and Beer's Law was used.  $B_s$  and  $B_{ws}$  were then set to zero and only  $B_w$  was calculated.

Since  $\tau_s$  is nonlinear in  $n$  and  $D$ , the procedure used to minimize Eq. (14) was an iterative nonlinear technique. A modified Newton-Raphson method was used [14]; this expression was of the form

$$a_{k+1} = A_k + M_k^{-1} g(a_k) \quad (22)$$

where  $g(a_k)$  is the set of equations obtained by taking the partial derivatives of Eq. (14) with respect to  $n$  and  $C$ , respectively, and setting them equal to zero, and  $M_k$  is the set of equations obtained by taking

$$\frac{\partial g(a_k)}{\partial n} \quad (23)$$

and

$$\frac{\partial g(a_k)}{\partial D} \quad (24)$$

The  $a_k$ 's are

$$a_k = \begin{bmatrix} D_k \\ \vdots \\ \dot{n}_k \end{bmatrix} \quad k = 0, 1, \dots \quad (25)$$

The matrix obtained for  $g(a_k)$  is

$$g(a_k) = \begin{bmatrix} \sum_{i=1}^{i=N} \frac{i}{\partial f} \left( \rho_i - \frac{i}{f} \right) \\ \sum_{i=1}^{i=N} \frac{i}{\partial n} \left( \rho_i - \frac{i}{f} \right) \end{bmatrix} \quad k = 0, 1, \dots \quad (26)$$

The matrix  $M_k$  is obtained by expanding the function  $f(n, D_i)$  in the Taylor series about the point  $(n, D_i)$ , which makes  $f$  a minimum. The function can be closely approximated in the region of interest by considering only the linear terms in the Taylor series expansion of  $f$ . Using this simplifying approximation, we obtain

$$M_k = \begin{bmatrix} \sum_{i=1}^{i=N} \left( \frac{\partial f}{\partial D} \right)^2 & \sum_{i=1}^{i=N} \left( \frac{\partial f}{\partial D} \frac{\partial f}{\partial n} \right) \\ \sum_{i=1}^{i=N} \left( \frac{\partial f}{\partial D} \frac{\partial f}{\partial n} \right) & \sum_{i=1}^{i=N} \left( \frac{\partial f}{\partial n} \right)^2 \end{bmatrix} \quad k = 0, 1, \dots \quad (27)$$

where the symbol  $i$  indicates that the expression is evaluated at the point  $(n_j, D_j)$ . The technique involved requires making an initial estimate of the values of  $n$  and  $D$ , then making successive guesses by incrementing  $n$  by no more than 0.1 and  $D$  by no more than 0.3 until successive  $a_k$ 's are found such that their elements are less than 0.0005. When this difference is obtained, Eq. (14) is minimized with respect to  $n$  and  $D$ . In this procedure  $n$  is minimized first, and this value is used in minimizing  $D$ . In order for  $n$  and  $D$  to be minimized in this fashion, the initial estimates must be such that  $n$  and  $D$  can be determined within a limited number of iterations, which was arbitrarily chosen as 46 in the five-parameter computer program.



For the cases when  $n$  was set equal to 1.0 and Eq. (14) was minimized with respect to  $D$  only, Eqs. (26) and (27) became

$$g(a_k) = \left[ \sum_{i=1}^{i=N} \frac{i}{\frac{\partial f}{\partial D}} (p_i - f) \right] \quad k = 0, 1, \dots \quad (28)$$

and

$$M_k = \left[ \sum_{i=1}^{i=N} \left( \frac{i}{\frac{\partial f}{\partial D}} \right)^2 \right] \quad k = 0, 1, \dots \quad (29)$$

In order to determine the matrices for  $M_k$  and  $g(a_k)$ , the function  $f(n, D)$  and its partial derivatives with respect to  $n$  and  $D$  must be taken and evaluated. A series approximation method may be used to evaluate the incomplete gamma function; however, the function converges very slowly when it is close to the value 1. For this reason the  $(n, UP)$  plane was divided up into several areas of 50 points by 50 points. To approximate the log of the incomplete gamma function, a set of general third-order polynomials in  $n$  and  $\log(CUP)$  was written in the form

$$\begin{aligned} f(n, x) = & A_1 x^3 + A_2 n x^2 + A_3 n^2 x + A_4 n^3 + A_5 x^2 \\ & + A_6 n x + A_7 n^2 + A_8 x + A_9 n + A_{10} \end{aligned} \quad (30)$$

where  $x = \log(CUP)$ . Tabulated values for the Incomplete gamma function were used [15], and Eq. (30) was fitted to those values by using least-squares techniques to determine the coefficients. These polynomials and their partials with respect to  $n$  and  $D$  were used

in the calculation of  $g(a_k)$  and  $M_k$ . A similar polynomial expression for the incomplete gamma function was also determined, since it must be evaluated in order to find values for  $\tau_s$ . The functions were evaluated in the regions  $0.5 < n < 3.0$  and  $-4 < \log(CUP) < 4$ . The polynomial approximations were within 0.001 of the actual values of the functions.

After the parameters  $n$  and  $C$  were determined, the quadratic parameters  $B_w$ ,  $B_s$ , and  $B_{ws}$  were obtained by applying least-squares techniques to minimize the weighted difference between the theoretical  $Z_i^2$  and the experimental  $Z_i^{*2}$ ; i.e.,

$$\sum_{i=1}^{i=N} w(\tau_i^*) [B_w x_i^2 + B_s y_i^2 + B_{ws} x_i y_i - Z_i^{*2}]^2 \quad (31)$$

where  $w(\tau_i^*) = \tau_i^{*2} (\ln \tau_i^*)^6$ , and  $\tau_i^*$  is the measured value of the transmission. This weighting function was postulated from the assumption that the transmittance is a random variable with a probability density

$$S = (\ln \tau)^{-2} \quad (32)$$

The variance of this density is proportional to  $[\tau^{*2} (\ln \tau^*)^6]^{-1}$ . In least-squares methods the weighting function is conventionally inversely proportional to the variance [16]. The expression for  $w(\tau^*)$  is thus justified on this premise.

The procedure for minimizing Eq. (31) involves taking the partial derivatives with respect to  $B_w$ ,  $B_s$ , and  $B_{ws}$  and setting them equal to zero. This yields three equations to be solved for these parameters:

$$\sum_{i=1}^{i=N} w(\tau_i^*) x_i^2 (x_i^2 B_w + x_i y_i B_{ws} + y_i^2 B_s - Z_i^{*2}) = 0 \quad (33a)$$

$$\sum_{i=1}^{i=N} w(\tau_i^*) Y_i^2 (X_i^2 B_w + X_i Y_i B_{ws} + Y_i^2 B_s - Z_i^{*2}) = 0 \quad (33b)$$

$$\sum_{i=1}^{i=N} w(\tau_i^*) X_i Y_i (X_i^2 B_w + X_i Y_i B_{ws} + Y_i^2 B_s - Z_i^{*2}) = 0 \quad (33c)$$

The value of  $x_i$  is determined from  $1/U$  and the value of  $y_i$  is determined from the third-degree polynomial approximation for the incomplete gamma function. The above set of equations is easily solved at each frequency for the three quadratic parameters.

#### APPLICATION TO WATER VAPOR DATA AND COMPARISON TO AFCRL MODEL

The FP model was applied to the water vapor absorption data of Wyatt, Stull, and Plass [3] over absorption bands of 1200 to 2200  $\text{cm}^{-1}$  and 4900 to 5800  $\text{cm}^{-1}$  with a resolution of 50  $\text{cm}^{-1}$ . The transmittances for the 1-km horizontal atmospheric path were calculated. The selection of this path resulted in an equivalent water vapor concentration of 2 pr-cm. The results of this application are represented by the solid lines in Figures 3 and 4.

The AFCRL model, described by McClatchey et al. [4], employs a modified King's function (MKF) as its molecular absorption model. It is an empirical model specifically tailored to fit a specific set of data for water vapor, carbon dioxide, ozone, and a combination of uniformly mixed gases (oxygen, methane, carbon monoxide, and nitrogen oxide). Since the AFCRL model has found use in GTS applications, a comparison between it and the FP model was made.

King [11] attempted to write a general expression for atmospheric transmittance ( $\tau$ ) which, under certain conditions, would approach either the strong-line or the weak-line approximation of either the Elsasser model or the Mayer-Goody model. He proposed that  $\tau$  take the general functional form

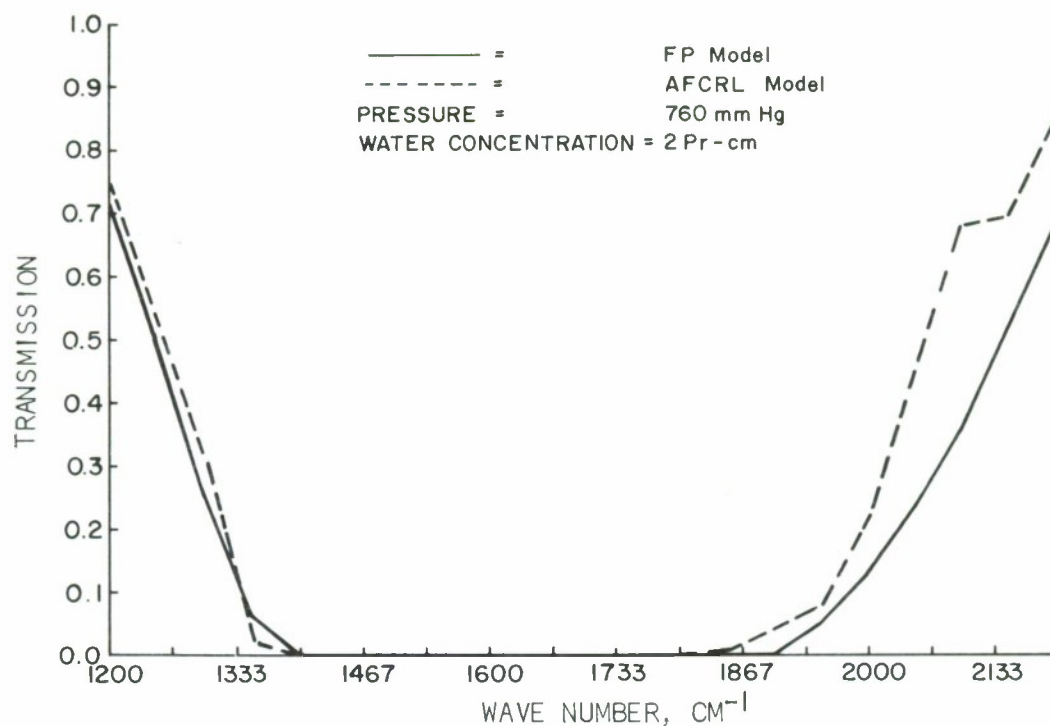


Figure 3. Comparison of the FP model with the AFCRL absorption model over the spectral interval 1200-2200  $\text{cm}^{-1}$  with a resolution of 50  $\text{cm}^{-1}$ .

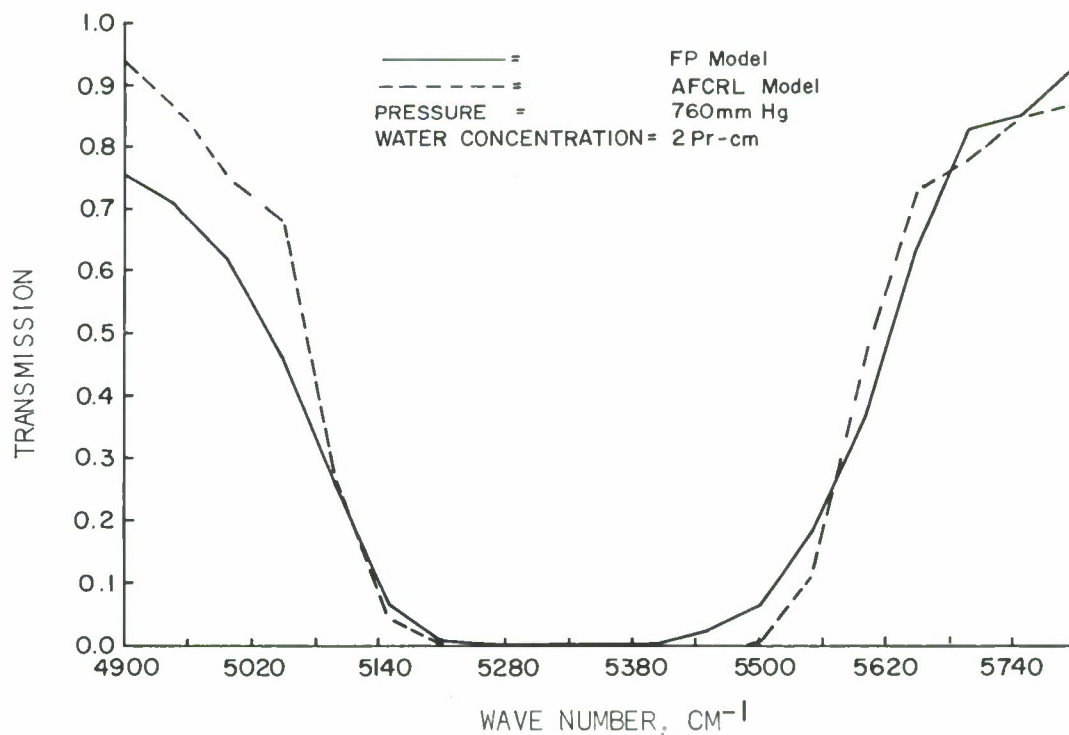


Figure 4. Comparison of the FP model with the AFCRL absorption model over the spectral interval 4900-5800  $\text{cm}^{-1}$  with a resolution of 50  $\text{cm}^{-1}$ .

$$\tau_{\Delta\nu}(\nu) = f[C(\nu) \Delta L P^n] \quad (34)$$

where

$\tau_{\Delta\nu}(\nu)$  = transmittance averaged over the spectral interval

$C(\nu)$  = frequency-dependent absorption coefficient

$\Delta L$  = optical path length

$P$  = effective broadening pressure

$n$  = frequency-dependent parameter

The King model is therefore a two-parameter model, with  $n$  and  $C$  as the frequency-dependent parameters. In the case of the MKF model, a mean value for  $n$  was determined graphically for a range of frequencies, thus reducing it to a one-parameter model [17].

In order to compare equivalent water concentrations for a 1-km horizontal path, the AFCRL tropical atmosphere model was chosen. The results are represented by the dashed lines in Figures 3 and 4.

In the 1200-2200  $\text{cm}^{-1}$  band in Figure 3, we note that the two models predict generally similar though not identical results. In the region between 1850-2200  $\text{cm}^{-1}$ , the two models differ significantly. In Figure 4 the two models differ in the region 4900-5100  $\text{cm}^{-1}$ . In order to understand this difference, the input data must be examined.

Synthesized input data and experimentally measured data, both from Burch et al. [5], were used in the development of the MKF model. The data of Wyatt et al. [3] were obtained theoretically by calculating the absorptions due to spectral lines corresponding to transitions between various vibration-rotation energy levels. Discrepancies between experimental and theoretical data may be due to Wyatt's application of the equivalent symmetric rotor approximation to the highly asymmetric water molecule [4], since in the theoretical calculations it was necessary to assume that the water molecule was a symmetric rotator. Both sets of data are shown in Figures 5 and 6 (obtained from Wyatt et al.) for 1200-2200  $\text{cm}^{-1}$  and 4900-5800  $\text{cm}^{-1}$ , respectively.

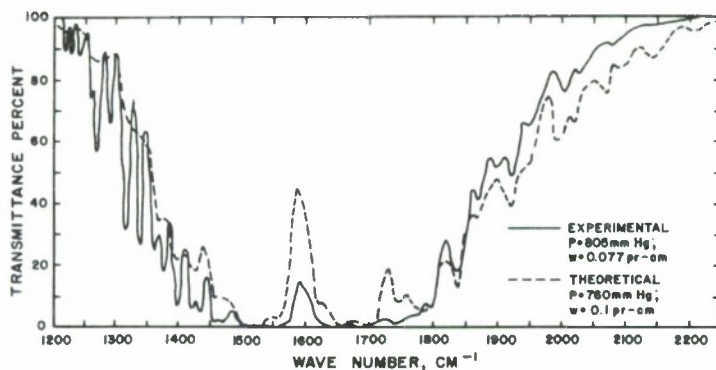


Figure 5. Comparison of the theoretical calculations of the transmittance of the  $6.3 \mu$  band with the experimental measurements of Burch et al.

The theoretical values have been averaged over a  $20 \text{ cm}^{-1}$  interval.\*

\* Originally Figure 1 from [3].

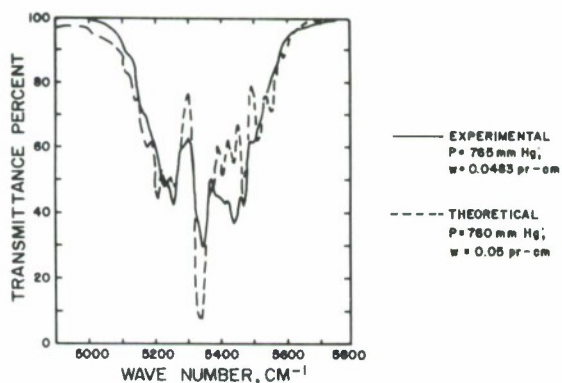


Figure 6. Comparison of the theoretical calculations of the transmittance of the  $1.87 \mu$  band with the experimental measurements of Burch et al.

The theoretical values have been averaged over a  $20 \text{ cm}^{-1}$  interval.†

† Originally Figure 2 from [3].



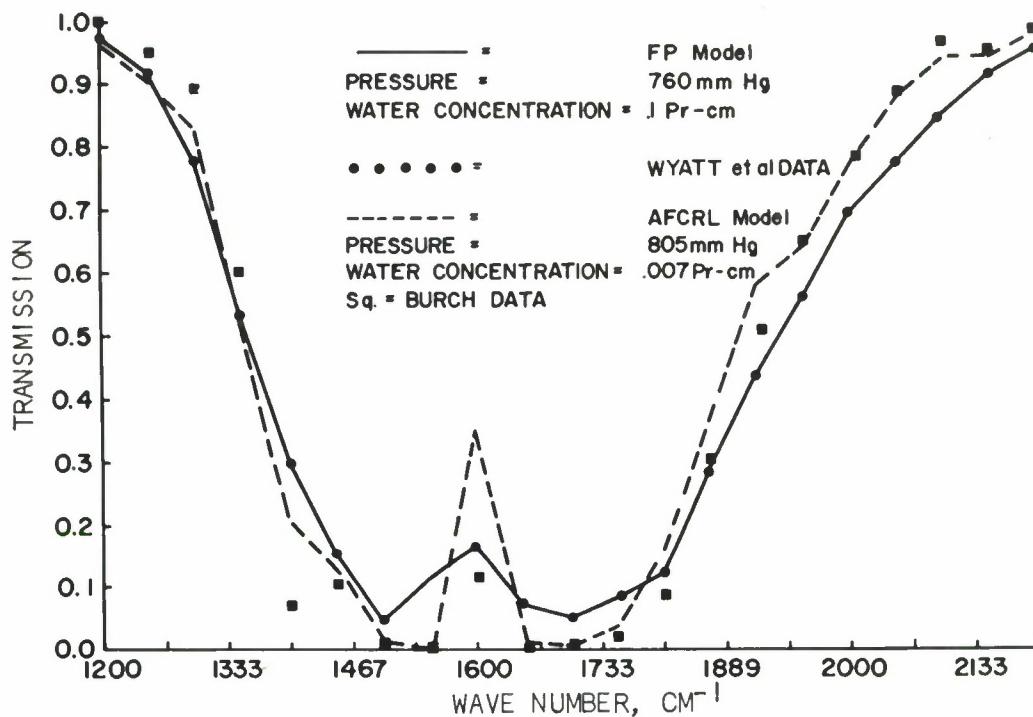


Figure 7. Comparison of the FP model to theoretical data of Wyatt et al., and comparison of the AFCRL model to empirical data of Burch over the spectral interval 1200-2200  $\text{cm}^{-1}$  with a resolution of 50  $\text{cm}^{-1}$ .

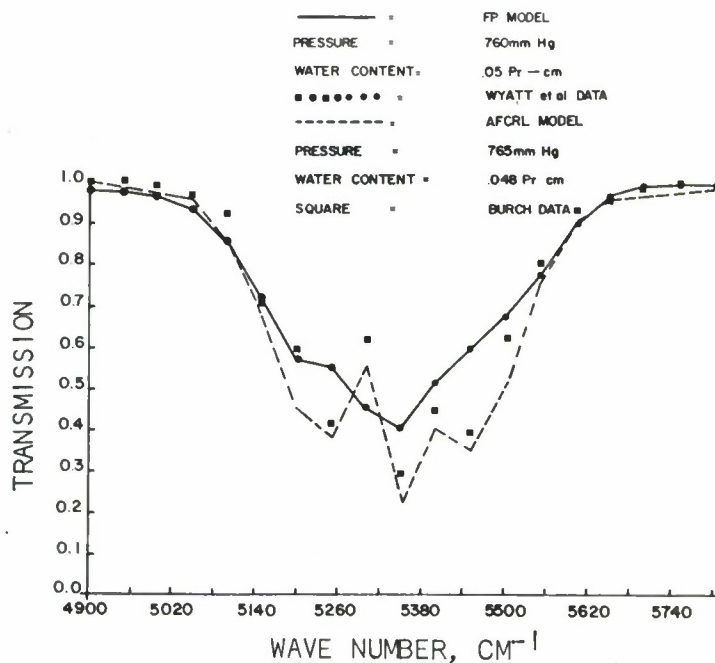


Figure 8. Comparison of the FP model to the theoretical data of Wyatt et al., and a comparison of the AFCRL model to the empirical data of Burch over the spectral interval 4900-5800  $\text{cm}^{-1}$  with a resolution of 50  $\text{cm}^{-1}$ .



A second comparison was then made between the results of the models and their respective data. The conditions for this comparison are as shown in Figures 5 and 6. The results are shown in Figures 7 and 8, where the solid line represents the results of the FP model and the dashed line that of the MKF model. The dots show the original Wyatt et al. data points, and the squares the published data of Burch et al. Tables 1 and 2 show the absolute deviation and give the RMS deviation of all points over each interval for both models. The RMS deviation for the 1200-2200  $\text{cm}^{-1}$  band is  $1.9 \times 10^{-3}$  for the FP model and  $71.3 \times 10^{-3}$  for the MKF model; for the 4900-5800  $\text{cm}^{-1}$  band, it is  $1.7 \times 10^{-3}$  for the five-parameter model and  $48.2 \times 10^{-3}$  for the MKF model. The FP model reproduced its data within 0.5% accuracy, while the MKF model, with the exception of three points, reproduced the published data of Burch et al. to within 10%.

#### CONCLUDING REMARKS

The addition of a fifth parameter to generalize the Zachor model, combined with the employment of high speed digital computers to accurately determine the parameters of the model by least-squares techniques and non-linear iteration methods, makes the five-parameter molecular absorption model a highly accurate and the most general analytical formula currently available. In contrast to empirical models, the five-parameter model also has the advantage of not being restricted to any particular set of data. Consequently, the five-parameter molecular absorption model is to be integrated into the Atmospheric Sciences Laboratory's (ASL) total atmospheric transmittance model.

In the 1200-2200  $\text{cm}^{-1}$  band, the five-parameter model and the modified King's function model produce comparable though not identical results; in the 1850-2200  $\text{cm}^{-1}$  region, they differ markedly. In the 4900-5800  $\text{cm}^{-1}$  band they also predict similar results except in the 4900-5100  $\text{cm}^{-1}$  region, where they again differ markedly. The results of the comparison between the five-parameter model and its input data and the modified King's function model and the published data of Burch et al. indicate that the former more accurately reproduces its data. To provide a more specific comparison, the two models need to be developed and applied to the same set of data over various atmospheric paths.

TABLE I

COMPARISON OF THE PREDICTIONS OF THE FIVE-PARAMETER ABSORPTION MODEL AND THE MODIFIED KING'S FUNCTION ABSORPTION MODEL WITH THEIR RESPECTIVE INPUT DATA OVER THE 1200-2200  $\text{cm}^{-1}$  WATER VAPOR BAND

Wave Number	Wyatt et al. Data	FP Model	Absolute Deviation	Burch Data*	MKF Model*	Absolute Deviation*
1200	.972	.975	.003	.98	.96	.02
1250	.954	.955	.001	.94	.91	.03
1300	.781	.779	.002	.87	.83	.04
1350	.518	.521	.003	.60	.50	.10
1400	.299	.302	.003	.06	.20	.14
1450	.155	.155	.000	.10	.13	.03
1500	.047	.046	.001	.01	.01	.00
1550	.118	.114	.004	.00	.01	.01
1600	.165	.165	.000	.12	.36	.24
1650	.073	.070	.003	.00	.01	.01
1700	.052	.051	.001	.01	.01	.00
1750	.082	.083	.001	.02	.03	.01
1800	.123	.122	.001	.10	.16	.06
1850	.289	.290	.001	.30	.37	.07
1900	.435	.437	.002	.52	.58	.06
1950	.557	.559	.002	.65	.64	.01
2000	.691	.692	.001	.77	.77	.00
2050	.773	.773	.000	.89	.88	.01
2100	.850	.849	.001	.94	.94	.00
2150	.915	.914	.001	.96	.94	.02
2200	.957	.958	.001	.99	.98	.01

FP Model RMS Deviation =  $1.9 \times 10^{-3}$

MKF Model RMS Deviation =  $71.3 \times 10^{-3}$

\*Only two significant figure accuracy was available for these data.

TABLE 2

COMPARISON OF THE PREDICTIONS OF THE FIVE-PARAMETER ABSORPTION MODEL AND THE MODIFIED KING'S FUNCTION ABSORPTION MODEL WITH THEIR RESPECTIVE INPUT DATA OVER THE 4900-5800  $\text{cm}^{-1}$  WATER VAPOR BAND

Wave Number	Wyatt et al. Data	FP Model	Absolute Deviation	Burch Data*	MKF Model *	Absolute Deviation*
4900	.978	.979	.001	1.00	1.00	.00
4950	.976	.976	.000	1.00	.99	.01
5000	.965	.965	.000	.99	.97	.02
5050	.934	.935	.001	.97	.96	.01
5100	.858	.856	.001	.90	.85	.05
5150	.720	.717	.003	.71	.67	.04
5200	.571	.572	.001	.59	.45	.04
5250	.554	.556	.002	.42	.38	.04
5300	.411	.407	.004	.30	.22	.08
5400	.520	.521	.001	.44	.41	.03
5450	.599	.599	.000	.40	.35	.05
5500	.679	.680	.001	.63	.51	.14
5550	.770	.777	.002	.81	.76	.05
5600	.899	.899	.000	.94	.91	.03
5650	.970	.971	.001	.97	.97	.00
5700	.993	.994	.001	.99	.97	.02
5750	.995	.997	.002	1.00	.98	.02
5800	.998	.998	.000	1.00	.99	.01

FP Model RMS deviation =  $1.7 \times 10^{-3}$

MKF Model RMS deviation =  $48.2 \times 10^{-3}$

\*Only two significant figure accuracy was available for these data.

Currently developmental work is being done for the ASL GTS modeling program (Contract Number DDAD07-73-C-0127) at the University of Texas at El Paso to extend the five-parameter model to real atmospheric conditions. This work consists of the application of inhomogeneous path techniques to the transmission calculations and the inclusion of transmission parameters for all important gaseous atmospheric constituents. The computer program efficiency will be maximized and the model will be applied to high resolution data.

Because of the large number of computations necessary to compute the five parameters, the model is best used over a limited spectral interval. However, if high accuracy is not required or if computer time and storage is limited, the completely developed program will have the capability of setting one or more of the parameters to a fixed value. This will result in reducing the five-parameter model to one of the nine models mentioned.

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